



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,843	07/23/2001	Daniel C. Carter	P07087US00/BAS

CONFIRMATION NO. 8801

00881

LARSON & TAYLOR, PLC
1199 NORTH FAIRFAX STREET
SUITE 900
ALEXANDRIA, VA 22314

FORMALITIES LETTER



OC00000006503917

Date Mailed: 08/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

10-31-2001

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

11/01/2001 SSITHIB1 00000041 09909843

02 FC:201

03 FC:205

370.00 DP

65.00 DP

*A copy of this notice **MUST** be returned with the reply.*

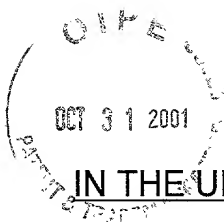
A.T

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

Patent Examination Division



#9
Sector 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent

In re patent application of: CARTER

Serial No.: 09/909,843

Examiner:

Filed: 23 July 2001

Art Unit: 1765

For: STACKABLE VAPOR-EQUILIBRATION TRAY
FOR CELL CULTURE AND PROTEIN CRYSTAL
GROWTH

Docket No.: P07087US00/BAS

LETTER - MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C.

S I R:

In response to the Notice to File Missing Parts of Application mailed August 31, 2001, enclosed are the following:

☒ Copy of *Notice to File Missing Parts of Nonprovisional Application*

☒ **Declaration** signed by the named inventor(s)

☐ **Application Data Sheet** supplementing signed declaration

☐ Verified English **translation** of the application

☒ Check for \$ 475.00 (as calculated below)

☒ Recordation Form Cover Sheet and **Assignment** document to be recorded

☐ Certified copy of **Priority Document**, the priority of which is hereby claimed

☒ **Preliminary Amendment**

☐ Information Disclosure Statement

☒ Applicant claims **small entity status**

☒ Other: Letter - Formal Drawings; 3 p. Formal Drawings

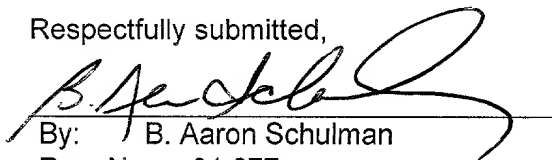
Fee Calculation (after reducing filing fee by preliminary amendment if noted above).

FEE CALCULATION and notations					
	<i>Now</i>	<i>Basic Number</i>	<i>Present Extra</i>	<i>Rate</i>	<u>\$</u>
TOTAL CLAIMS	16	- 20	0	X \$ 18 =	
INDEP. CLAIMS	3	- 3	0	X \$ 84 =	
MULTIPLE DEPENDENT CLAIM(S)				+ \$ 280 =	
<input checked="" type="checkbox"/> LATE FILING SURCHARGE				+ \$ 130 =	130
<input checked="" type="checkbox"/>				BASIC FEE \$ 740 =	740
TOTAL OF ABOVE CALCULATIONS =					870
<input checked="" type="checkbox"/> Reduction by ½ for small entity status of applicant				-	435
SUBTOTAL =					435
<input checked="" type="checkbox"/> Fee for recording of assignment				+ \$ 40 =	40
Fee for non-English specification				+ \$ 130 =	
TOTAL OF ALL FEES =					\$475.00

☒ If no check or an insufficient check is enclosed and a fee is due in connection herewith, the Commissioner is authorized to charge any fee or additional fee due in connection herewith to Deposit Account No. 12-0555. A duplicate of this sheet is enclosed.

Date: 31 October 2001

Respectfully submitted,


 By: B. Aaron Schulman
 Reg. No.: 31,877

LARSON & TAYLOR, PLC • 1199 North Fairfax St. • Suite 900 • Alexandria, VA 22314